

# A Combined Experiment and Computation Study of the Fused Polycyclic Benzimidazole Derivatives

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**Abstract** Novel fused polycyclic benzimidazole derivatives were synthesized from dimethyl 4,5-diaminophthalate/dimethyl 3,4-diaminophthalate with aromatic anhydride (**a-b**) by condensation. The UV-Visible absorption and fluorescence emission spectra of the dyes were studied in solvents of differing polarity. The dyes were characterized by the spectral analysis. Density Functional Theory computations have been used to derive more understanding of structural, molecular, electronic and photophysical parameters of the push-pull dyes. The computed absorption wavelength values were observed to be in good agreement with the experimental results. The second order hyperpolarizability ( $\beta_{\text{H}}$ ) values were computed by Density Functional Theory and found to be in the range of  $67.16 \times 10^{-31}$  to  $107.76 \times 10^{-31}$  e.s.u.

**Keywords** Polycyclic benzimidazole · Solvatochromism · Photo-physics · Hyperpolarizability · DFT · TD-DFT

## Introduction

The importance of the imidazo[2,1-a]isoindolone skeleton is well recognized as a subunit due to its significance as a wide range of synthetic pharmaceutical compounds. Some of these compounds are patented and have been reported to have a

wide range of biological activities like analgesic, antifungal, anti-inflammatory, antipyretic, hypertensive, and psychostimulant, [1, 2] blood pressure lowering, spasmolytic, anti tussive and tranquilizer properties, [3] and used in the treatment of rheumatism. [4]. Also, they are useful intermediates in synthetic organic chemistry, particularly in the amplification of imidazo[2,1-a]isoindolol-based anorectics, [2, 5, 6] central nervous system (CNS) stimulants [7, 8] and antidepressants, [9] respectively. This class of compounds has also confirmed to be highly effective plant growth regulating agents [10] with effects on the plant budding process [11–13]. Most recently reported benzimidazo[2,1-a]isoindolones unit have been comparable shown their biological activities evaluation with Batracylin anti-tumor activities [14] as well as their ability to bring unscheduled DNA synthesis in rat hepatocytes [15, 16].

Along with biological importance, the benzo[4,5]imidazo[2,1-a]isoindolone unit are effective candidates in both as organic pigments [17, 18] and n-type organic semiconductors [19, 20]. Because of their stability, high light absorptivity and good electron transportation process of these derivatives have been extensively studied for developing different derivatives in organic electronics. The benzo[4,5]imidazo[2,1-a]isoindolone derivatives have already shown to be the promising candidates in optoelectronic device [21], light-emitting diodes [22, 23], organic thin-film transistors (OTFTs) [24, 25], organic photovoltaic's (OPVs) [26, 27], organic electronics [28] and Organic Field Effect Transistors (OFET) [29–31].

To design and synthesis of such compounds having considerable molecular properties, such as reduction/oxidation potentials, band gap between HOMO-LUMO, and solid-state crystal packing to achieve materials with enhanced transport properties is an important area of research [27]. In recent years, computational approach using Density Functional Theory (DFT) and Time Dependent Density Functional

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Theory (TD-DFT) has been effective tool to understand the molecular basis of photophysical as well as other electronic properties driven by the interaction of electromagnetic impulses with organic compounds [32].

In this paper, traditional synthesis of benzo[4,5]imidazo[2,1-a]isoindolone derivatives involving the reaction between dimethyl 4,5-diaminophthalate (**1**) or dimethyl 3,4-diaminophthalate (**2**) and an aromatic anhydrides (**a-b**) and their photophysical properties are reported. Density functional theory computations [B3LYP/6-31+G(d)] were used to study the geometrical and electronic properties of the synthesized molecules and compared with the experimentally obtained photophysical data.

## Experimental Section

### Materials and Methods

All the commercial reagents and the solvents were purchased from S. D. Fine Chemicals Pvt. Ltd. and were used without purification. The reaction was monitored by TLC using on 0.25 mm silica gel 60 F<sub>254</sub>precoated plates, which were visualized with UV light. Melting points were measured on standard melting point apparatus from Sunder industrial product Mumbai and are uncorrected. FT-IR spectra were recorded on Jasco 4100 using ATR accessory. <sup>1</sup>H-NMR spectra were recorded on VARIAN Inc. (USA) 500-MHz instrument using TMS as an internal standard and CDCl<sub>3</sub>/DMSO-*d*<sub>6</sub> as the solvent at room temperature. Mass spectra were recorded on Finnigan mass spectrometer. Simultaneous DSC-TGA measurements were performed out on SDT Q 600 v8.2 Build 100 model of TA instruments Waters (India) Pvt. Ltd. The UV-visible absorption and emission spectra were performed on a Perkins-Elmer Lamda 25 and Varian Cary Eclipse at room temperature respectively. Quantum yields were obtained by using quinine sulfate (0.54 in 1 M H<sub>2</sub>SO<sub>4</sub>) as reference [33].

### Computational Methods

The ground state (S<sub>0</sub>) geometries of the synthesized compounds were optimized in vacuum using Density Functional Theory (DFT) [34]. The functional used was B3LYP. The B3LYP method combines Becke's three parameter exchange functional (B3) [35] with the nonlocal correlation functional by Lee, Yang, and Parr (LYP) [36]. The basis set used for all the atoms was 6-31+G(d), the latter has been justified in the literature [37–39] for the current investigation. The vibrational frequencies at the optimized structures were computed using the same method to verify that the optimized structures correspond to local minima on the energy surface [40]. The vertical excitation energy and oscillator strengths at ground state equilibrium geometries were calculated by using TD-DFT at the

same hybrid functional and basis set [41–43]. The low-lying first singlet excited states (S<sub>1</sub>) of dyes was relaxed using TD-DFT to obtain its minimum energy geometry. The difference between the energies of the optimized geometries at the first singlet excited state and the ground state was used in computing the emissions [44, 45]. Frequency computations were also carried out on the optimized geometry of the low-lying vibronically relaxed first excited state of the conformers. All the computations in solvents of different polarities were carried out using the Polarizable Continuum Model (PCM) [46]. All electronic structure computations were carried out using the Gaussian 09 program [47].

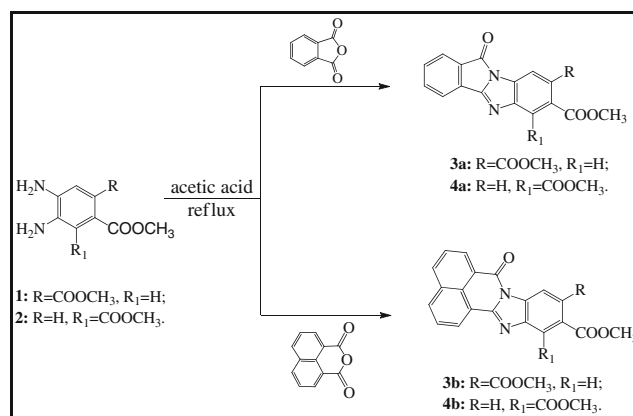
### Synthesis and Characterization

The synthetic scheme for the preparation of benzo[4,5]imidazo[2,1-a]isoindolone (**3a–3b** and **4a–4b**) derivatives are shown in Scheme 1. Dimethyl 4,5-diaminophthalate (**1**) and dimethyl 3,4-diaminophthalate (**2**) were prepared by the reported procedure [48] from 4-nitrophthalic acid.

#### General Procedure for the Preparation of Isoindolone

Diamino-dimethyl phthalate (**1** or **2**) 0.5 g (2.2 mmol) and different aromatic anhydride (**a–b**) (2.2 mmol) were dissolved in acetic acid (5 mL), and the reaction mixture was refluxed for 6 h. The mixture was poured on the crushed ice and the precipitate obtained was filtered, washed with cold water till neutral pH and dried to afford **3a–3b** and **4a–4b**. The product was recrystallized from ethanol.

*Dimethyl 11-oxo-11H-benzo[4,5]imidazo[2,1-a]isoindole-7,8-dicarboxylate (3a)* Yield: 75 %; Melting point: 136–138 °C; Mass m/z: 337.44 [M+H]<sup>+</sup>; <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>, 300 MHz): δ 8.20 (d, 1H, Ar-H, *J*=7.8 Hz), 8.08 (s, 2H, Ar-H), 7.53 (t, 1H, Ar-H, *J*=7.2 Hz), 7.20 (d, 1H, Ar-H, *J*=7.2 Hz), 7.11 (t, 1H, Ar-H, *J*=7.8 Hz), 3.87 (s, 6H, -



**Scheme 1** Synthesis of benzo[4,5]imidazo[2,1-a]isoindolone derivatives

OCH<sub>3</sub>); FTIR: 1716 (C=O stretching), 1657, 1630 (C=C, C=N stretching), 1592, 1436 (C-C aromatic stretching), 1337, 1310, 1234 (C-N, C-O stretching) cm<sup>-1</sup>.

*Dimethyl 7-oxo-7H-benzo[de]benzo[4,5]imidazo[2,1-a]isoquinoline-10,11-dicarboxylate (3b)* Yield: 80 %; Melting point: 162–164 °C; Mass m/z: 387.20 [M+H]<sup>+</sup>; <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>, 300 MHz): δ 8.81 (m, 2H, Ar-H), 8.64 (d, 1H, Ar-H, *J*=8.1 Hz), 8.49 (d, 1H, Ar-H, *J*=8.4 Hz), 8.18 (s, 2H, Ar-H), 8.21 (dd, 2H, Ar-H, *J*=7.8 Hz and *J*=8.7 Hz), 3.93 (s, 3H, -OCH<sub>3</sub>), 3.90 (s, 3H, -OCH<sub>3</sub>); FTIR: 1735, 1710 (C=O stretching), 1621, 1593 (C=C, C=N stretching), 1542, 1428 (C-C aromatic stretching), 1344, 1325, 1260 (C-N, C-O stretching) cm<sup>-1</sup>.

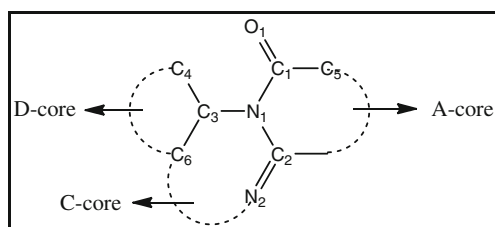
*Dimethyl 11-oxo-11H-benzo[4,5]imidazo[2,1-a]isoindole-6,7-dicarboxylate (4a)* Yield: 72 %; Melting point: 128–130 °C; Mass m/z: 337.28 [M+H]<sup>+</sup>; FTIR: 1739, 1718 (C=O stretching), 1615, 1574 (C=C, C=N stretching), 1502, 1429 (C-C aromatic stretching), 1371, 1318, 1276 (C-N, C-O stretching) cm<sup>-1</sup>.

*Dimethyl 7-oxo-7H-benzo[de]benzo[4,5]imidazo[2,1-a]isoquinoline-11,12-dicarboxylate (4b)* Yield: 76 %; Melting point: 158–160 °C; Mass m/z: 387.38 [M+H]<sup>+</sup>; <sup>1</sup>H NMR (DMSO-*d*<sub>6</sub>, 600 MHz): δ 8.98 (d, 1H, Ar-H, *J*=7.2 Hz), 8.84 (d, 1H, Ar-H, *J*=7.2 Hz), 8.66 (d, 1H, Ar-H, *J*=8.4 Hz), 8.35 (d, 1H, Ar-H, *J*=8.4 Hz), 8.21 (d, 1H, Ar-H, *J*=7.8 Hz), 8.15 (d, 1H, Ar-H, *J*=9.0 Hz), 7.85 (m, 2H, Ar-H), 4.14 (s, 3H, -OCH<sub>3</sub>), 3.97 (s, 3H, -OCH<sub>3</sub>); FTIR: 1718, 1704 (C=O stretching), 1609, 1591 (C=C, C=N stretching), 1532, 1465 (C-C aromatic stretching), 1331, 1318, 1265 (C-N, C-O stretching) cm<sup>-1</sup>.

## Results and Discussion

### Optimized Geometries

The geometries of the compounds were optimized at B3LYP/6-31+G(d) level. The optimized geometries can be understood with the help of the basic structure as shown in Fig. 1. This concludes that the two nitrogen atoms forming the imidazole or



**Fig. 1** General structure for all the compounds

pyrimidine unit (designated as C-core in Fig. 1) is anchored on one side with the carbonyl aryl core of anhydrides (designated as A-core in Fig. 1) and other side aryl core of the 1,2-diamine (designated as D-core in Fig. 1). The dihedral angles of the C-core in ground state and excited state are influenced by the nature of the substituents on both the cores as well as the nature of the anhydride (phthalic or naphthalic). This is directly affecting the extent of planarity of the molecule and hence the charge transfer characteristics of the system.

As a representative example, the structural view of the compound **3b** in the ground and the excited state is presented in Table 1, Fig. 2. In the compound **3b** the major bond lengthening was observed between the bonds C<sub>1</sub>-C<sub>5</sub>, C<sub>1</sub>-C<sub>42</sub>, C<sub>2</sub>-C<sub>3</sub>, C<sub>4</sub>-C<sub>5</sub>, N<sub>15</sub>-C<sub>22</sub>, N<sub>21</sub>-C<sub>23</sub>, C<sub>22</sub>-O<sub>24</sub>, C<sub>25</sub>-C<sub>26</sub>, C<sub>32</sub>-C<sub>37</sub>, C<sub>36</sub>-C<sub>33</sub> by 0.026, 0.023, 0.015, 0.096, 0.015, 0.053, 0.018, 0.033, 0.037, 0.036 Å and the bond length shortening for the bonds C<sub>1</sub>-N<sub>21</sub>, C<sub>2</sub>-C<sub>42</sub>, N<sub>15</sub>-C<sub>23</sub>, C<sub>22</sub>-C<sub>26</sub>, C<sub>23</sub>-C<sub>32</sub>, C<sub>25</sub>-C<sub>30</sub>, C<sub>28</sub>-C<sub>33</sub>, C<sub>36</sub>-C<sub>37</sub> by 0.045, 0.016, 0.016, 0.030, 0.044, 0.016, 0.006, 0.026 Å. Such a lengthening and shortening of the bonds were observed due to the effect of donor and acceptor groups present in the molecules. In the excited state, twist dihedral angle of the diester moiety of the donor core was reduced to maintain planarity. Similar changes in the geometries were observed in the optimized geometries at the ground and excited state of the remaining compounds **3a**, **4a** and **4b** (Table 1).

The Mulliken charge distribution in the ground and the excited state (DCM solvent) of the compounds are summarized in Table 2. In the excited state of the compound **3b**, the net positive charge on the atom C<sub>5</sub> and C<sub>6</sub> increases from 0.525 to 0.557 and 0.073 to 0.119. While the net negative charge on N<sub>15</sub> and C<sub>25</sub> atom increases from -0.219 to -0.241 and -0.387 to -0.471 respectively (in au) (Fig. 3), which is suggestive of the charge delocalization in the molecule from the D-core to the A-core.

### Photo-Physical Properties

To evaluate the effect of the solvent polarities on the photophysical properties of the polycyclic fused benzimidazole derivatives (**3a–3b** and **4a–4b**), absorption and emission were studied in five different solvents of differing polarity, dielectric constant, refractive indices and the results are summarized in Table 3, Figs. 4 and 5. The absorption as well as emission properties of the fused benzimidazole compounds were found to be nearly same in all the solvents studied. From this it was clear that, both the properties are independent of the solvent polarity. All of the compounds show a well-resolved absorption profile in the range of 305–385 nm with molar extinction coefficient values (ε) in agreement with π-π\* transitions (Figs. 4 and 5). The computed vertical excitation values of the compounds are in good agreement with the experimental absorption and emission; the difference between

**Table 1** Computed interatomic distance of the compounds **3a**, **3b**, **4a** and **4b** in ground and excited state in DMF

Bond.	<b>3a</b>		Bond.	<b>3b</b>		Bond.	<b>4a</b>		Bond.	<b>4b</b>	
	GS <sup>a</sup>	ES <sup>b</sup>		GS <sup>a</sup>	ES <sup>b</sup>		GS <sup>a</sup>	ES <sup>b</sup>		GS <sup>a</sup>	ES <sup>b</sup>
C <sub>1</sub> -C <sub>5</sub>	1.421	1.466	C <sub>1</sub> -C <sub>5</sub>	1.413	1.440	C <sub>1</sub> -C <sub>2</sub>	1.398	1.416	C <sub>1</sub> -C <sub>2</sub>	1.401	1.427
C <sub>2</sub> -C <sub>36</sub>	1.400	1.384	C <sub>2</sub> -C <sub>42</sub>	1.398	1.383	C <sub>1</sub> -C <sub>6</sub>	1.421	1.467	C <sub>1</sub> -C <sub>6</sub>	1.414	1.440
C <sub>2</sub> -C <sub>6</sub>	1.497	1.503	C <sub>3</sub> -C <sub>4</sub>	1.398	1.402	C <sub>1</sub> -N <sub>24</sub>	1.404	1.356	C <sub>1</sub> -N <sub>24</sub>	1.388	1.342
C <sub>3</sub> -C <sub>4</sub>	1.402	1.385	C <sub>5</sub> -N <sub>15</sub>	1.396	1.388	C <sub>3</sub> -C <sub>4</sub>	1.412	1.440	C <sub>3</sub> -C <sub>4</sub>	1.413	1.427
C <sub>5</sub> -N <sub>15</sub>	1.388	1.346	C <sub>6</sub> -O <sub>16</sub>	1.221	1.220	C <sub>6</sub> -N <sub>18</sub>	1.388	1.344	C <sub>5</sub> -C <sub>6</sub>	1.394	1.387
C <sub>6</sub> -O <sub>16</sub>	1.220	1.218	C <sub>6</sub> -O <sub>19</sub>	1.343	1.339	C <sub>8</sub> -O <sub>22</sub>	1.347	1.336	C <sub>6</sub> -N <sub>18</sub>	1.396	1.387
C <sub>6</sub> -O <sub>19</sub>	1.342	1.337	N <sub>15</sub> -C <sub>22</sub>	1.408	1.423	C <sub>13</sub> -O <sub>20</sub>	1.212	1.217	C <sub>13</sub> -O <sub>20</sub>	1.217	1.216
N <sub>15</sub> -C <sub>28</sub>	1.415	1.436	N <sub>15</sub> -C <sub>23</sub>	1.406	1.390	C <sub>13</sub> -O <sub>21</sub>	1.343	1.334	C <sub>13</sub> -O <sub>21</sub>	1.337	1.333
N <sub>15</sub> -C <sub>29</sub>	1.397	1.429	C <sub>17</sub> -O <sub>41</sub>	1.220	1.220	N <sub>18</sub> -C <sub>32</sub>	1.399	1.429	N <sub>18</sub> -C <sub>25</sub>	1.409	1.427
O <sub>17</sub> -C <sub>35</sub>	1.220	1.220	C <sub>18</sub> -O <sub>41</sub>	1.341	1.337	N <sub>18</sub> -C <sub>31</sub>	1.415	1.437	N <sub>18</sub> -C <sub>26</sub>	1.407	1.389
N <sub>21</sub> -C <sub>29</sub>	1.304	1.346	N <sub>21</sub> -C <sub>23</sub>	1.313	1.366	N <sub>24</sub> -C <sub>32</sub>	1.300	1.346	N <sub>24</sub> -C <sub>26</sub>	1.312	1.365
C <sub>22</sub> -C <sub>23</sub>	1.413	1.461	C <sub>22</sub> -O <sub>24</sub>	1.226	1.243	C <sub>25</sub> -C <sub>26</sub>	1.412	1.461	C <sub>25</sub> -O <sub>27</sub>	1.225	1.244
C <sub>23</sub> -C <sub>24</sub>	1.389	1.416	C <sub>22</sub> -C <sub>26</sub>	1.477	1.447	C <sub>25</sub> -C <sub>31</sub>	1.499	1.447	C <sub>25</sub> -C <sub>29</sub>	1.477	1.446
C <sub>23</sub> -C <sub>29</sub>	1.463	1.401	C <sub>23</sub> -C <sub>32</sub>	1.450	1.405	C <sub>25</sub> -C <sub>30</sub>	1.387	1.400	C <sub>26</sub> -C <sub>35</sub>	1.450	1.406
C <sub>25</sub> -C <sub>24</sub>	1.404	1.381	C <sub>26</sub> -C <sub>27</sub>	1.427	1.423	C <sub>26</sub> -C <sub>32</sub>	1.463	1.401	C <sub>29</sub> -C <sub>30</sub>	1.427	1.424
C <sub>25</sub> -C <sub>26</sub>	1.400	1.428	C <sub>27</sub> -C <sub>32</sub>	1.425	1.438	C <sub>28</sub> -C <sub>29</sub>	1.401	1.428	C <sub>30</sub> -C <sub>35</sub>	1.425	1.437
C <sub>26</sub> -C <sub>27</sub>	1.404	1.393	C <sub>32</sub> -C <sub>37</sub>	1.390	1.427	C <sub>29</sub> -C <sub>30</sub>	1.403	1.393	C <sub>35</sub> -C <sub>40</sub>	1.389	1.426

Bond distances are in Å

<sup>a</sup> Bond distances were obtained at the optimized ground state geometry

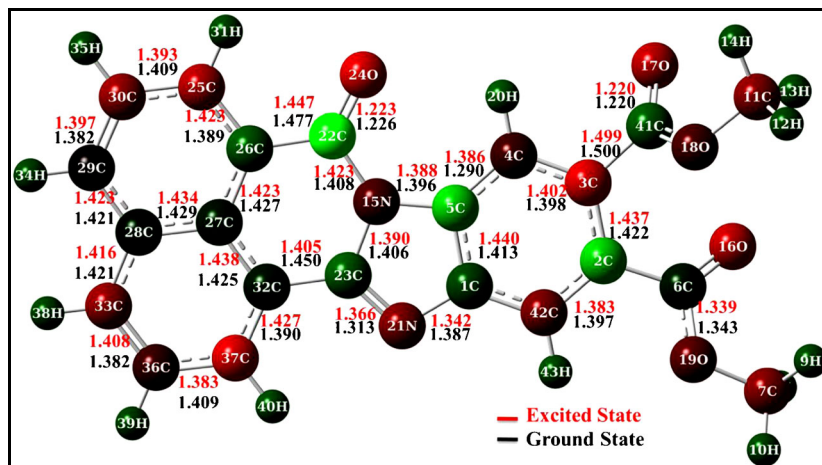
<sup>b</sup> Bond distances were obtained at the optimized excited state geometry

the computed and experimental values is less than 30 nm in all the solvents studied and 52 nm (in DMF) respectively.

The red shifted absorption maxima of the compounds **3b** and **4b** indicates that the naphthalic anhydride (385 nm in DMF) has a stronger electron withdrawing effect than in **3a** and **4a** (321, and 328 nm in DMF). Similarly the compounds **3b** and **4b** showed red shifted emission as compared to the compounds **3a** and **4a**. Introduction of the substituent on the donor core (D-core) induced intramolecular charge transfer and mesomeric dipole moment. When compared with

unsubstituted analogues [49] of the compounds **3a** and **4a** latter have shown a blue shifted absorption but in compounds **3b** and **4b** nearly the same. The electron withdrawing effect of diester groups on donor core have not induced efficient donor to acceptor charge transfer. The compounds **3a** and **4a** absorb at shorter wavelength in DCM as compared to their unsubstituted analogue which absorb at 340 nm, while compounds **3b** and **4b** absorb at the same wavelength (384 nm) due to strong withdrawing effect of naphthalene core. Also in the case of emission the unsubstituted analogue in DCM are

**Fig. 2** Optimized geometry parameters of the compound **3b** in DMF solvent in the ground state and excited state (bond lengths are in Å, angles are in degree)



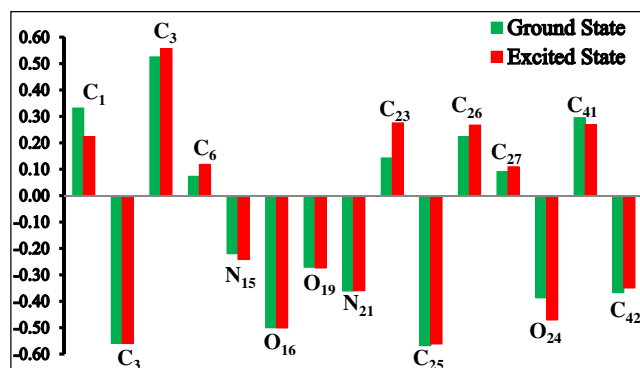
**Table 2** Mulliken charge (e) distribution for compounds **3a**, **3b**, **4a** and **4b** in the ground state (GS) and excited state (ES) optimized geometry in DCM

Atom	<b>3a</b>		<b>3b</b>		Atom	<b>4a</b>		<b>4b</b>	
	GS	ES	GS	ES		GS	ES	GS	ES
C <sub>1</sub>	-0.126	-0.236	0.332	0.224	C <sub>1</sub>	0.173	0.238	0.213	0.255
C <sub>3</sub>	-0.136	-0.031	-0.559	-0.560	C <sub>3</sub>	-0.068	-0.057	-0.197	-0.177
C <sub>5</sub>	0.562	0.614	0.525	0.557	C <sub>5</sub>	-0.779	-0.684	-0.888	-0.847
C <sub>6</sub>	0.512	0.373	0.073	0.119	C <sub>6</sub>	0.763	0.700	0.706	0.706
N <sub>15</sub>	-0.204	-0.199	-0.219	-0.241	N <sub>18</sub>	-0.206	-0.198	-0.261	-0.261
O <sub>16</sub>	-0.496	-0.483	-0.500	-0.501	O <sub>19</sub>	-0.551	-0.545	-0.563	-0.566
O <sub>19</sub>	-0.259	-0.227	-0.271	-0.273	O <sub>22</sub>	-0.329	-0.323	-0.332	-0.329
N <sub>21</sub>	-0.414	-0.392	-0.361	-0.360	N <sub>24</sub>	-0.366	-0.346	-0.296	-0.297
C <sub>23</sub>	-0.265	-0.374	0.143	0.276	C <sub>25</sub>	0.099	0.155	0.814	0.871
C <sub>25</sub>	-0.191	-0.213	-0.387	-0.471	C <sub>26</sub>	0.071	-0.044	0.363	0.214
C <sub>26</sub>	-0.279	-0.268	0.224	0.267	C <sub>28</sub>	-0.147	-0.149	-0.466	-0.273
C <sub>27</sub>	-0.434	-0.400	0.091	0.109	C <sub>29</sub>	-0.289	-0.281	0.401	0.115
O <sub>34/24</sub>	-0.549	-0.548	-0.568	-0.561	C <sub>30</sub>	-0.527	-0.524	0.117	0.029
C <sub>35/41</sub>	0.281	0.375	0.295	0.270	C <sub>32</sub>	0.709	0.637	-0.064	0.058
C <sub>36/42</sub>	-0.359	-0.365	-0.367	-0.349	O <sub>37/C<sub>35</sub></sub>	-0.538	-0.542	0.206	0.143

red shifted (519 and 499 nm) as compared to the compounds **3a–4a** and **3b–4b** respectively.

#### Relative Quantum Yield

The fluorescence quantum yields of the synthesized polycyclic fused benzimidazole derivatives were determined in different solvents and tabulated in Table 3. The nature of the substituent and the solvent polarity are mostly affecting the fluorescence quantum yields of the compounds. Here, it has been observed that the compounds **3a–3b** and **4a–4b** enhances the fluorescence quantum yields in the polar aprotic (DMF) and non polar (THF) solvents respectively. The highest quantum yield of the compounds **3a–3b** in DMF and **4a–4b** in THF was observed. The values in the increasing order are: **3a** (0.13) < **3b** (0.26) and < **4a** (0.11) < **4b** (0.25). The quantum yield values were the lowest in methanol in the increasing order: **4a** (0.05) < **3a** (0.07) < **4b** (0.10) < **3b** (0.15).



**Fig. 3** The ground state (GS) and excited state (ES) Mulliken charge (e) distribution of the compound **3b** in DCM

#### Electronic Vertical Excitations (TD-DFT)

The electronic vertical excitations were calculated using TD-B3LYP/6-31+G(d) method. Table 3 is summarized the experimental absorption wavelengths and the computed vertical excitation spectra associated with their oscillator strengths, orbital composition, and their corresponding assignments for the compounds **3a–3b** and **4a–4b**. The absorption band of the compounds occurring at the lower energy with higher oscillator strength is due to the intramolecular charge transfer (ICT) and it is the characteristic of the donor-acceptor chromophore. These ICT bands mainly occur due to the electronic transition from the highest occupied molecular orbital HOMO and HOMO-1 to the lowest unoccupied molecular orbital (LUMO) of the compounds **3a–4a** and **3b–4b** respectively. Both the experimental absorption and the computed vertical excitation of the compounds **3a–3b** and **4a–4b** are independent of the solvent polarity. The percent deviation between the experimental and the computed absorption of the compounds **3a**, **3b**, **4a** and **4b** in DCM is 6.1, 6.5, 5.6 and 7.2 % respectively. As an example of the compound **3b**, in the case of non polar solvent like THF, HOMO to LUMO (98 %) transition is responsible for the vertical excitation located at 411 nm with oscillator strength ( $f$ ) 0.44, which corresponds to the experimentally observed absorption peak at 387 nm (Table 3).

#### Frontier Molecular Orbital

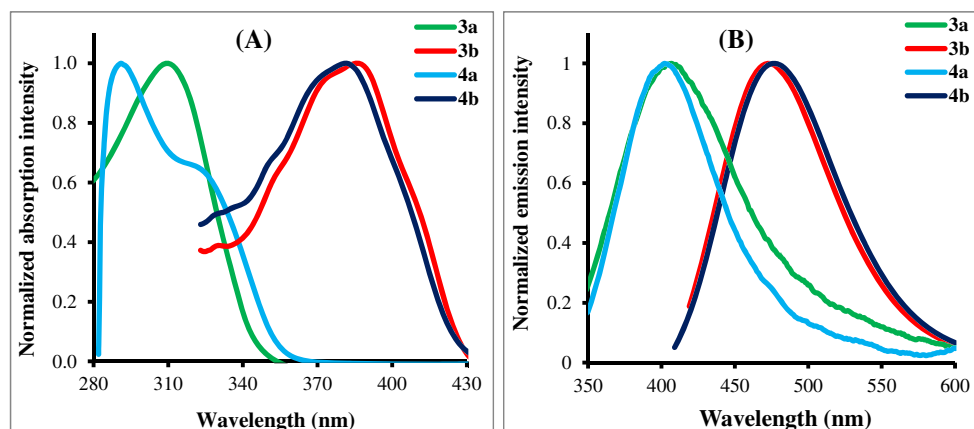
The effects of the charge transfer from dimethyl phthalate (D-core) to the carbonyl chromophore (A-core) were studied to understand the electronic transition and the charge delocalization within the molecules. The first dipole allowed electron

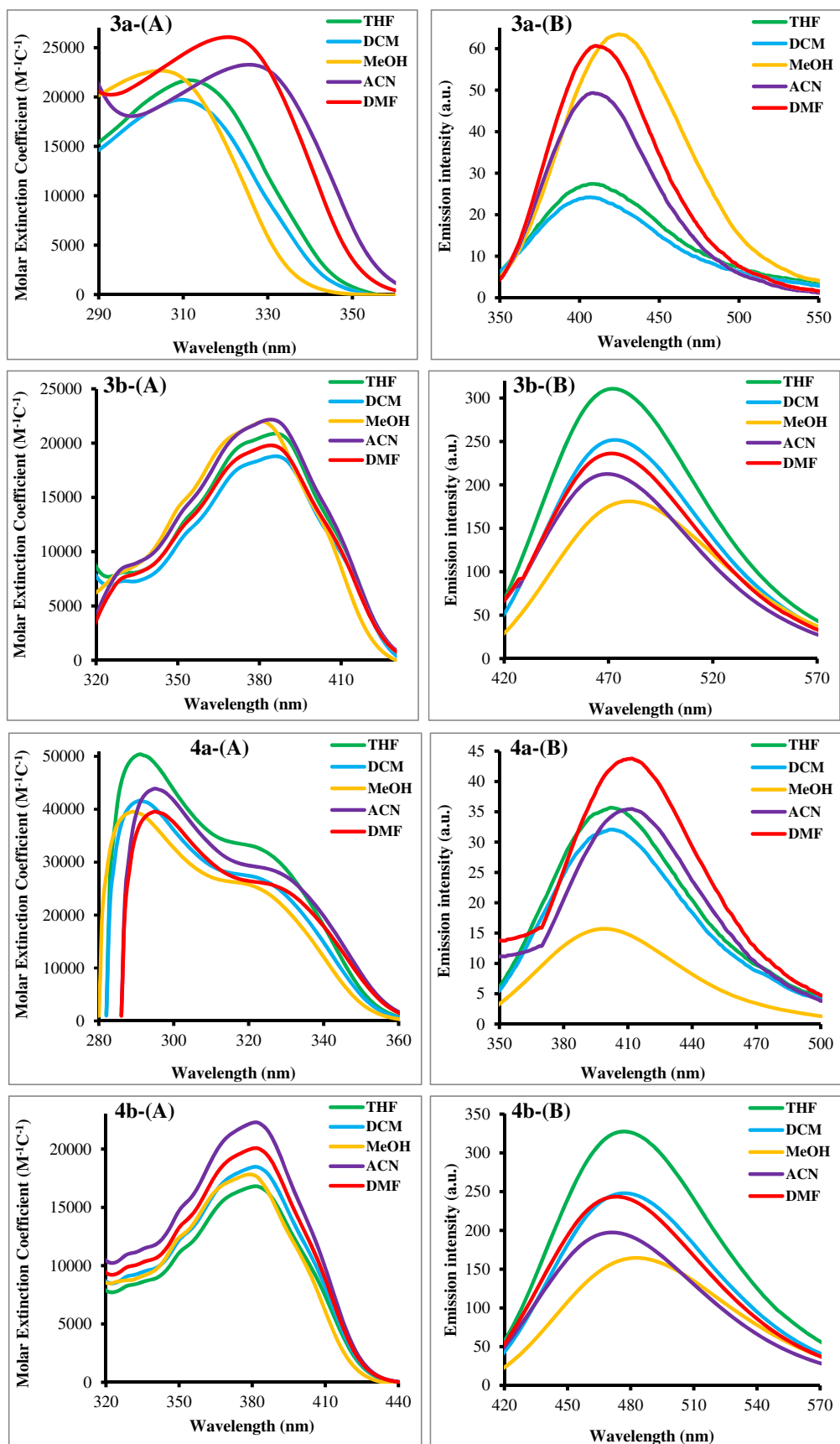
**Table 3** Observed UV-Visible absorption-emission and its computed vertical excitation spectra of absorption-emission of the compounds **3a**, **3b**, **4a** and **4b** in different solvents

Comp.	Solvents	Experimental			Computed (TD-DFT)						$\Phi$
		$\lambda_{\max}^a$ nm ( )	$\lambda_{\max}^b$ nm intensity(au)	Stokes shift	Vertical <sup>c</sup> Exct. (nm)	$f^d$	Orbital contribution	%D <sup>e</sup>	TD-DFT emission (nm)	%D <sup>f</sup>	
<b>3a</b>	THF	312(21,701)	409(27.45)	97	330	0.12	H-1→L(88 %)	5.8	————	————	0.08
	DCM	310(19,728)	407(24.21)	97	329	0.13	H-1→L(88 %)	6.1	————	————	0.07
	MeOH	305(22,669)	425(63.65)	120	330	0.11	H-1→L(88 %)	8.2	————	————	0.07
	ACN	326(23,276)	408(49.33)	82	330	0.11	H-1→L(88 %)	1.1	————	————	0.10
	DMF	321(26,069)	410(60.67)	89	331	0.12	H-1→L(88 %)	3.1	399	2.7	0.13
<b>3b</b>	THF	387(20,878)	472(310.94)	85	411	0.44	H→L (98 %)	6.2	————	————	0.23
	DCM	386(18,790)	473(252.98)	87	411	0.44	H→L (98 %)	6.5	————	————	0.18
	MeOH	381(21,965)	478(180.97)	97	409	0.43	H→L (97 %)	7.4	————	————	0.15
	ACN	385(22,156)	469(212.67)	84	409	0.43	H→L (97 %)	6.3	————	————	0.17
	DMF	385(29,782)	471(236.28)	86	411	0.45	H→L (97 %)	6.6	423	3.0	0.26
<b>4a</b>	THF	291(50,410) 321(33,208)	402(35.69)	111 81	339	0.12	H-1→L(84 %)	5.7	————	————	0.11
	DCM	291(41,661) 321(27,321)	402(32.09)	111 81	339	0.13	H-1→L(84 %)	5.6	————	————	0.08
	MeOH	289(39,564) 321(25,787)	399(15.73)	110 78	337	0.11	H-1→L(84 %)	5.1	————	————	0.05
	ACN	295(43,916) 325(28,791)	412(35.48)	117 87	337	0.11	H-1→L(84 %)	3.8	————	————	0.08
	DMF	295(39,564) 328(24,958)	412(43.78)	117 84	338	0.12	H-1→L(84 %)	3.9	394	4.4	0.08
<b>4b</b>	THF	381(16,812)	477(327.55)	96	410	0.47	H→L (98 %)	7.5	————	————	0.25
	DCM	382(18,475)	477(247.96)	95	410	0.47	H→L (98 %)	7.2	————	————	0.12
	MeOH	379(17,825)	483(164.64)	104	407	0.46	H→L (97 %)	7.4	————	————	0.1
	ACN	381(22,290)	471(197.43)	90	407	0.46	H→L (97 %)	6.9	————	————	0.14
	DMF	385(20,081)	473(243.63)	88	405	0.50	H→L (97 %)	5.3	421	3.9	0.16

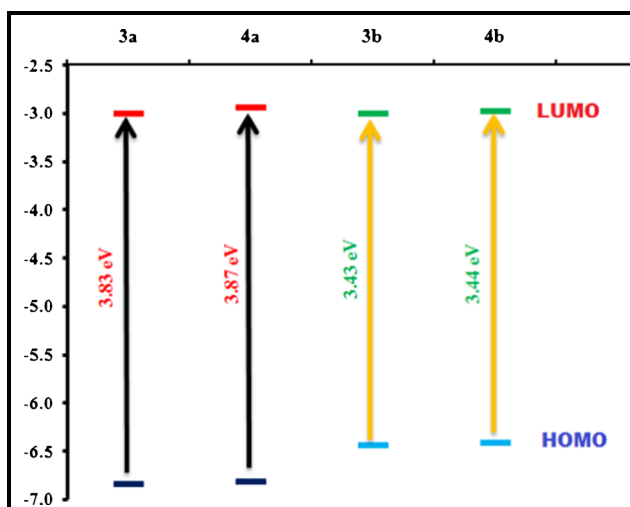
<sup>a</sup> Experimental absorption wavelength<sup>b</sup> Experimental emission wavelength<sup>c</sup> Computed absorption wavelength<sup>d</sup> Oscillator strength<sup>e</sup> % Deviation between experimental absorption and vertical excitation computed by DFT<sup>f</sup> % Deviation between experimental emission and computed (TD-DFT) emission $\Phi$ : Quantum yield at various solvents

———— Not calculated

**Fig. 4** Normalized (a) absorption and (b) emission intensity of the compounds **3a**, **3b**, **4a** and **4b** in DCM



**Fig. 5** a Absorption and b emission of the compounds 3a, 3b, 4a and 4b in different solvent



**Fig. 6** Energy gap between HOMO→LUMO of the compounds **3a**, **3b**, **4a** and **4b** in DMF solvents

transition and the strongest electron transitions usually correspond almost exclusively to the ICT of an electron from HOMO→LUMO or HOMO-1→LUMO energies of different molecular orbitals in different solvents are illustrated in Tables S1-S2. In the case of the entire solvents energy gap between the HOMO -1→LUMO and HOMO -1→LUMO orbital of the compounds **3a–4a** and **3b–4b** nearly same as the solvent polarity was increased or decreased respectively (Table S1-S2). The HOMO and LUMO energy level of the compounds **3a** and **4a** in DMF remains same, and it may be due to the very similar reduction potential. Similarly in the case of compounds **3b** and **4b** (Fig. 6). This is understandable because of these structural isomer having same reduction site [50].

**Fig. 7** Frontier molecular orbitals of the compounds **3a**, **3b**, **4a** and **4b** in DCM solvent

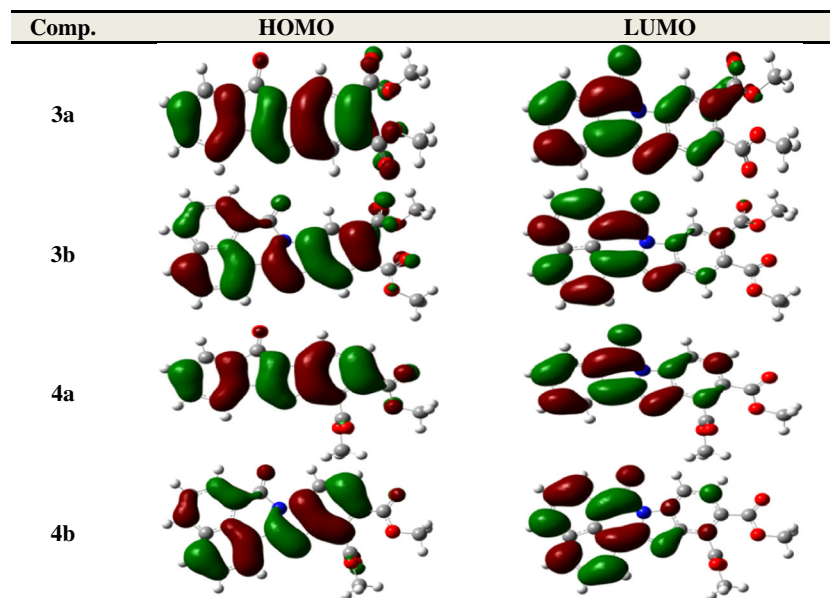


Figure 7 displays the energies of the different molecular orbitals involved in the electronic transitions of the compounds **3a–3b** and **4a–4b** in dichloromethane obtained from the B3LYP-optimized geometries. It clearly shows that in the HOMO, the whole electron density lies on the two nitrogen atoms and the donor (Core-D) ring, which gets shifted on the carbonyl group and the C-core (Figs. 1 and 2) in the LUMO. This indicates the charge transfer behavior of the compounds where the electrons get pulled from the donor to the acceptor end. In LUMO there is no electron density on the nitrogen in each case and it possesses a node.

#### Static Second-Order Nonlinear Optical (NLO) Properties

The D-A chromophores probably having good non-linear properties and their first hyperpolarizability ( $\beta_0$ ) values can be enhanced due to their relative orientation. Thus, their good linear and nonlinear optical properties of the asymmetrical D-A chromophores have been studied. Density functional theory (DFT) was used to calculate the second-order NLO properties of the polycyclic benzimidazole D-A chromophores. The static first hyperpolarizability ( $\beta_0$ ) and its related properties for the compounds were calculated using B3LYP/6-31+G(d) on the basis of the finite field approach [51]. The computed first hyperpolarizability ( $\beta_0$ ) values were found to be ranging from 95.15, 107.76, 70.08, 67.16  $\times 10^{-31}$  e.s.u. for the compounds **3a**, **3b**, **4a** and **4b** respectively. The computed  $\beta$ -tensors are summarized in Table 4. These values are significantly greater than the values for urea ( $3.8 \times 10^{-31}$  e.s.u.) by 24, 28, 18 and 17 times respectively. These compounds have shown a large hyperpolarizability, significantly considerable charge transfer characteristics of the ground state to the first excited state. This is further supported by the large variation in



**Table 4** Static first hyperpolarizability and its  $\beta$ -components of the compounds **3a**, **3b**, **4a** and **4b** (all values in e.s.u.)

$\beta$ -tensors	<b>3a</b>	<b>3b</b>	<b>4a</b>	<b>4b</b>
$\beta_{xxx}$	$-3.21 \times 10^{-30}$	$9.65 \times 10^{-30}$	$3.21 \times 10^{-30}$	$1.35 \times 10^{-30}$
$\beta_{xxy}$	$-1.70 \times 10^{-30}$	$-1.09 \times 10^{-30}$	$5.40 \times 10^{-30}$	$3.74 \times 10^{-30}$
$\beta_{xyy}$	$-5.66 \times 10^{-31}$	$1.27 \times 10^{-30}$	$1.62 \times 10^{-30}$	$2.98 \times 10^{-30}$
$\beta_{yyy}$	$-1.91 \times 10^{-32}$	$-5.42 \times 10^{-31}$	$-3.36 \times 10^{-31}$	$1.21 \times 10^{-30}$
$\beta_{xxz}$	$3.06 \times 10^{-30}$	$1.93 \times 10^{-32}$	$3.10 \times 10^{-31}$	$-9.53 \times 10^{-32}$
$\beta_{yyz}$	$9.74 \times 10^{-32}$	$2.20 \times 10^{-31}$	$-2.07 \times 10^{-31}$	$4.00 \times 10^{-32}$
$\beta_{zzz}$	$-2.77 \times 10^{-30}$	$-2.62 \times 10^{-31}$	$-1.84 \times 10^{-31}$	$1.33 \times 10^{-32}$
$\beta_{yzz}$	$-1.42 \times 10^{-30}$	$1.64 \times 10^{-31}$	$1.72 \times 10^{-31}$	$1.78 \times 10^{-31}$
$\beta_{zzz}$	$2.99 \times 10^{-30}$	$-5.17 \times 10^{-32}$	$-2.03 \times 10^{-31}$	$1.08 \times 10^{-31}$
$\beta_o$	$95.15 \times 10^{-31}$	$107.76 \times 10^{-31}$	$70.08 \times 10^{-31}$	$67.16 \times 10^{-31}$

the dipole moments between the ground and the excited states from the solvatochromism studies. From these observations it was clear that, these compounds could be used as promising candidates in the field of non-linear optics.

## Conclusion

In summary, we have designed and synthesized the novel D-A type chromophores containing the dimethyl phthalate (D-core) as an electron donor and carbonyl chromophore (A-core) as the electron acceptors. The structures of the synthesized fused polycyclic benzimidazole were confirmed by FT-IR,  $^1\text{H-NMR}$ ,  $^{13}\text{C-NMR}$  and Mass spectral analysis. The resultant photophysical data revealed that these compounds have a high Stokes shift ranging from 78 to 120 nm. The significant emissive property implies that the electronic coupling between the donor and the acceptor was sufficient to allow a charge transfer within the molecule and they are sensitive towards the solvent polarity. The quantum yield of the compounds **4a–4b** was observed to be comparatively higher in non-polar solvent while compounds **3a–3b** in polar solvent.

The geometry of the fused polycyclic benzimidazole derivatives were optimized at B3LYP/6-31+G(d) level. The vertical excitations and emissions were computed and are in good agreement with the experimental results. The compounds **3a–4a** and **3b–4b** have shown a prominent absorption due to HOMO-1→LUMO and HOMO→LUMO transition with a high oscillator strength respectively. The isodensity orbital plots have displayed that majority of the electron density in HOMO is located on the dimethyl phthalate (D-core). The first hyperpolarizability was calculated by using the finite field approach at B3LYP/6-31+G(d) level and it was found that these compounds possess a large second-order nonlinear property as compared to urea. These compounds

could be used as promising candidates for various applications in nonlinear optics (NLO), electronic-photonics devices and organic light emitting diodes.

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